

FORM PTO-1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

P150299

U.S. APPLICATION NO. (Unknown, see 37 CFR 1.5)

09/423414

INTERNATIONAL APPLICATION NO.  
PCT/CA98/00463INTERNATIONAL FILING DATE  
06 May 1998PRIORITY DATE CLAIMED  
07 May 1997

TITLE OF INVENTION TELECONFERNCING ROBOT WITH SWILELING VIDEO MONITOR

APPLICANT(S) FOR DO/EO/US

SMITH, Graham Thomas, FELS, Deborah Ingrid and TREVIRANUS, Jutta

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unsigned**)
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - (a) **Verified Statement - Independent Inventor (unsigned)**
    - (b) **Verified Statement - Small Business Concern - Telbotics Inc. (unsigned)**
    - (c) **Verified Statement - Non-profit Organization - Ryerson Polytechnic University (copy)**
    - (d) **Verified Statement - Non-profit Organization - The University of Toronto (copy)**
    - (e) **Form PCT/IB/308.**

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17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .	<b>\$970.00</b>
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .	<b>\$840.00</b>
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .	<b>\$760.00</b>
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .	<b>\$670.00</b>
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .	<b>\$96.00</b>

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

**\$ 840.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	20 - 20 =	NIL	X \$18.00
Independent claims	1 - 3 =	NIL	X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		NIL	+ \$260.00

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\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

**\$ 840.00**

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 420.00

SUBTOTAL =

\$ 420.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). ☐ +

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**TOTAL NATIONAL FEE =**

\$ 420.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

\$ 40.00

**TOTAL FEES ENCLOSED** \_\_\_\_\_

**\$ 460.00**

**Amount to be:**

5

refunded

1

**charged**

\$

- a. ☒ A check in the amount of \$ 460.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-1350. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**SIGNATURE**

NAME **JEFF PERVANAS**

41.543

REGISTRATION NUMBER

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PATENTS & TRADE MARK AGENTS

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November 5, 1999

CONTINUING THE PRACTICE OF  
GEORGE H. RICHES AND ASSOCIATES  
ESTABLISHED 1887

BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C., U.S.A.  
20231

Re: SMITH, Graham Thomas, FELS, Deborah Ingrid and TREVIRANUS, Jutta  
New U.S. Patent Application  
National Phase of International PCT Patent Application Serial  
No. PCT/CA98/00463  
Title: "Teleconferencing Robot With Swiveling Video Monitor"  
International Filing Date: May 6, 1998 Our Ref.: P150299  
**DUE DATE: NOVEMBER 7, 1999**

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Dear Sir:

Transmitted herewith for entry into the United States national phase under 35 U.S.C. 371 in the above stated names, are the following documents:

1. Transmittal letter to the United States designated office;
2. Declaration and Power of Attorney (3 pages) - unsigned;
3. Declaration supporting a 50% fee reduction - independent inventor (1 page) - unsigned;
4. Declaration supporting a 50% fee reduction - small business concern - Telbotics (1 page) - unsigned;
5. Copy of Declaration supporting a 50% fee reduction - Ryerson Polytechnic University (1 page) - signed;
6. Declaration supporting a 50% fee reduction - The University of Toronto (1 page) - unsigned;
7. Copy of an Assignment, including U.S. Recordation Cover Sheet Form PTO-1619A (6 pages);
8. Preliminary Amendment (2 pages) in duplicate; and
9. Form PCT/IB/308.

FEES

	<u>Claims Filed</u>		<u>Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u>
					\$420.00
Total Claims:	20 - 20	=	0	x18.00	\$ 0.00
Independent Claims:	1 - 3	=	0	x78.00	\$ 0.00
Multiple Dependency Penalty (\$260.00)					\$ 0.00
Assignment Recordal Fee (\$40.00)					\$ 40.00
				<b>TOTAL FEES:</b>	<b>\$460.00</b>

REMARKS

The applicant has received from WIPO a Notification Form PCT/IB/308 advising of the communication of the international application to the U.S. Patent Office. Accordingly, a copy of Application No. PCT/CA98/00463 is believed not to be required.

Entry of the United States national phase of international Application Serial No. PCT/CA98/00463 is to proceed with substitute disclosure pages 3 and 3a and substitute claims 1 to 20 which were amended under Article 34 on May 14, 1999 and which were annexed to the International Preliminary Examination Report mailed June 6, 1999.

A duplicate copy of the application, corresponding identically to Application No. PCT/CA98/00463 as amended under Article 34 is enclosed for use if necessary.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 18-1350. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

**RICHER, MCKENZIE & HERBERT**

*Jeff Pervanas*  
Jeff Pervanas  
Reg. No. 41,543

JP/caf  
Encls.  
Ret.Ack.Card.  
Fee \$460.00  
As Above.